



Docket No.: M1912.0024

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Atsushi Iwata

Application No.: 09/981,138

Confirmation No.: 6217

Filed: October 16, 2001

Art Unit: 2142

For: INTERDOMAIN ROUTING SYSTEM

Examiner: Not Yet Assigned

#### INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

## Timing of Filing of the Information Disclosure Statement:

	This IDS is being filed before the First Office Action <sup>1</sup> .
$\boxtimes$	This IDS is being filed after the issuance of the First Office Action but before the issuance of a Final Office Action <sup>2</sup> .

<sup>&</sup>lt;sup>1</sup> The IDS should, where possible, include a certification under 37 C.F.R. §1.97(e).

<sup>&</sup>lt;sup>2</sup> The IDS *must* include *either* a certification under 37 C.F.R. §1.97(e) *or* the fee set forth in 37 C.F.R. §1.17(p).

Appli	ication No.: 09/981,138	Docket No.: M1912.0024
	This IDS is being filed after the issuance of a Final Office Allowance but before the payment of the Final Fee <sup>3</sup> .	e Action or Notice of
Certi	ifications:	
If che	ecked, the undersigned makes the following statement(s):	
$\boxtimes$	Statement under 37 CFR § 1.97(e):	
	Each item of information contained in this information of first cited in any communication from a foreign patent of foreign application not more than three months prior to information disclosure statement; or	office in a counterpart
	No item of information contained in this information did in a communication from a foreign patent office in a coupapplication, and, to the knowledge of the undersigned a inquiry, no item of information contained in this inform was known to any individual designated in § 1.56(c) more to the filing of the information disclosure statement.	interpart foreign fter making reasonable ation disclosure statement
	Statement Under 37 C.F.R. § 1.704(d):  Each item of information contained in this information of cited in a communication from a foreign patent office in less than thirty days prior to the filing of this information	a counterpart application
Fee R	Required by 37 C.F.R. § 1.97(c)(2) or 1.97(d)(2):	
	If checked, the fee of \$180.00 set forth in 37 C.F.R. \$1.	.17(p) is attached.

<sup>&</sup>lt;sup>3</sup> The IDS *must* include *both* a certification under 37 C.F.R. §1.97(e) *and* the fee set forth in 37 C.F.R. §1.17(p).

Application No.: 09/981,138 Docket No.: M1912.0024

#### Copies of Information:

In accordance with 37 C.F.R. §1.98(a), the following are enclosed:  $\boxtimes$ A legible copy<sup>4</sup> of each document (or relevant portion thereof) is cited in the attached PTO/SB/08.  $\boxtimes$ With respect to any information which is not in English, a concise explanation of the relevance, as it is presently understood by the individual designated in  $\S 1.56(c)$ most knowledgeable about the content of the information, is attached. This concise explanation is provided by way of: A translation of the relevant portions of the non-English language information<sup>5</sup>; A statement explaining the relevant portions of the non-English language information; X A copy [and, where not in the English language, a translation] of at least the relevant portion(s)<sup>6</sup> of the communication from a foreign patent office in a counterpart foreign application in which the information was cited; or This information is contained in the specification of the present application.

<sup>&</sup>lt;sup>4</sup> A legible copy of the document is not required if (1) the information was previously cited by, or submitted to, the Office and considered by the Office in a prior U.S. application to which this application claims priority, provided that the prior application is properly identified in this IDS, and (2) the IDS submitted in the earlier application complies with 37 C.F.R. § 1.98(a) - (c). This exception does not apply to information cited in an International Application.

<sup>&</sup>lt;sup>5</sup> 37 C.F.R. §1.98(a)(3)(ii) requires that an English language translation be provided when a translation of the document, or portion thereof, "is within the possession, custody or control of, or is readily available to any individual designated in 37 C.F.R. § 1.56(c)."

<sup>&</sup>lt;sup>6</sup> The relevant portion is that portion which indicates the degree of relevance found by the foreign patent office. This may be an explanation of which portion of the of the reference is particularly relevant, to which claims it applies, or merely an "X", "Y", or "A" indication on a search report. MPEP §609 III A(3).

Application No.: 09/981,138 Docket No.: M1912.0024

In accordance with 37 C.F.R. 1.98(d), copies of the cited documents are not enclosed as they were provided in application Serial No. , filed , which the present application relies upon for an earlier effective filing date under 35 U.S.C. 120.

#### **Materiality:**

Whether or not the information and references disclosed in this Information Disclosure Statement is "material" pursuant to 37 CFR 1.56, this submission is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

In the event the actual fee is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 50-2215.

Dated: April 20, 2005

Respectfully submitted,

Joseph W. Ragusa

Registration No.: 38,586

XCKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

1177 Avenue of the Americas

41st Floor

New York, New York 10036-2714

(212) 835-1400

Attorney for Applicant

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known			
Application Number 09/981,138-Conf. #6217			
Filing Date	October 16, 2001		
First Named Inventor	Atsushi Iwata		
Art Unit	2142		
Examiner Name	Kamini S. Shah		
Attorney Docket Number	M1912.0024		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code³-Number⁴-Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	ВА	JP-06-276196 (w/ English Abstract)	09-30-1994	Sumitomo Electric Ind Ltd		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	CA	Tsukasa Ogina et al., "An Examination of the Optimal Server Search System in a Wide Range Dispersion Arrangement Web Server," Information Processing Society of Japan research report, July 11, 2000 (with English abstract)		
<u> </u>	СВ	English translation of relevant portion of Japanese Office Action dated March 22, 2005		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

#### M1912-0024

List of Cited References, Etc.

2) Tsukasa Ogino et al., "An Examination of the Optimal Server Search System in a Wide Range Dispersion Arrangement Web Server," A Research Report of the Information Processing Society of Japan, 2000-DSM-18-2, July 11, 2000.

